**Example Certificate of Exemption from Investment Policy Filing form (Ohio Revised Code** §**135.14(O)(3)**

Copy the text below the dotted line and paste into a file with your entity’s official letterhead.

Replace all highlighted text with your entity’s information. Then sign and date it, and upload to eServices.

**CERTIFICATE OF EXEMPTION FROM INVESTMENT POLICY FILING**

[I/We] hereby certify the average annual portfolio of [Entity Name], [Entity County] County is one hundred thousand dollars ($100,000) or less, and [I/we] agree to fully comply with the provisions of ORC §135.01 to §135.21. Therefore, pursuant to ORC §135.14(O)(3), [Entity Name] is not required to file an investment policy with the Auditor of State.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Treasurer Date

[Print name here]

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Governing Board Member Date

[Print name here]