OHIO AUDITOR OF STATE
KEITH FABER

20th Annual Emerging Trends in
Fraud Investigation & Prevention Conference

Virtual Conference

May 17th and 18th, 2021

General Session:

Use of Open Sources and Social Media in Research

Session: 8:50am-10:30am

Presenter(s): Michéle Stuart

Description: The Internet provides investigations with the ability to ascertain information on subjects efficiently. Focusing on the individual(s) is not always the answer. Identifying actionable intelligence is an extremely important aspect in research to assist in the identification, movement business associations, financial information, relatives, addresses / telephone numbers of individuals. Additionally, correct searches of social media platforms can assist in identifying suspects and witnesses in an investigation.

Day 1 Morning Breakout Sessions:

DOJ's Elder Justice Initiative

Session: 10:45am-12:00pm

Presenter(s): Denae Demasi-Lemon

Description: Overview of DOJ's Elder Justice Initiative involving prosecuting financial exploitation crimes against the elderly, stopping the targeting of elderly victims for cyber and telemarketing scams, and conducting community outreach to alert potential victims of possible scams and where to go for help.

Investigative Best Practices – Addressing Employee Concerns **ETHICS**

Session: 10:45am-12:00pm

Presenter(s): Wendy Evans

Description: The Department of Justice, "Evaluation of Corporate Compliance Programs" was released in April 2019. This guidance document was not designed to be a checklist but to provide insight into what prosecutors should consider if conducting an investigation of a corporation. Included in the guidance are important topics such as risk-based training, employee communication and confidential reporting/investigation processes. This course will provide a useful overview of the instigative process and best practices for conducting investigations, including charging practice violations and even retaliation misconduct or fraud through video-based compliance training examples. The course will provide useful information on DOJ Guidelines specifically as they related to confidential reporting and investigations. The participant will view case examples and discuss investigative best practices when conducting the "tough" investigations. Samples of video-based compliance training will be demonstrated. This course is designed to approach investigation from Ethics and Compliance perspective.

Securities Fraud and Money Laundering – An Ongoing Partnership

Session: 10:45am-12:00pm

Presenter(s): Becki LaPorte

Description: Securities Fraud and Money Laundering almost always go hand in hand. However, Investigations are often siloed and don't overlap. The cycle looks difference from traditional money laundering and can be overlook by AML Professional. These illicit funds are usually moved immediately into the banking industry which makes investigators more challenging.

Tackling Telephone Interviewing

Session: 10:45am-12:00pm

Presenter(s): Chris Norris

Description: Many investigators and human resources executives find themselves covering increasingly larger geographical areas. A properly executed telephone interview allows a modern investigator to conduct interviews without the time and resource costs of travel. Topics discussed during this webinar include: advantages and disadvantages of phone interviews, tactical preparation including room set up, critical listening, and understanding of verbal behavior.

Unmasking the Truth About Synthetic Identities

Session: 10:45am-12:00pm

Presenter(s): Frank McKenna

Description: Synthetic identity – the fastest growing financial crime in the US – is being driven by an unprecedented level of credit repair schemes and sharing of fraud methods on social media by scammers and fraudsters. The result is billions in hidden or unaccounted for fraud lurking in bank portfolios. Hear about the history of synthetic identity, how fraudsters create these disposable synthetic identities in as little as a couple hours, and how you can identify these schemes and stop them in their tracks.

Where's Waldo and What's He Up To?

Session: 10:45am-12:00pm

Presenter(s): Matthew Smith

Description: "Waldo" may be your insured, a claimant or a witness. Regardless, we live in a 24/7 connected world which includes the ability to trace the location and whereabouts of persons. From using "geotagging" to determine when and where photos were taken to plate scanners, tri-angulated smart phone data and security cameras you can trace a personal locations and pathways of travel with high levels of accuracy in the time period surrounding loss. Today's devices also allow you to determine now only where some is but even the type of physical activities they engage in but may deny doing in an injury claim. This program explores a myriad of new cutting-edge technologies (from apps to fit-bits to drones) and how insurers, investigators and attorneys are using these tools in the battle against insurance fraud to win cases in court.

General Session:

Cybersecurity, Privacy Rights and Ethical Fraud Investigations…Keeping the Right Balance **ETHICS**

Session: 12:35pm-1:35pm

Presenter(s): Matthew Smith

Description: Technological advances, such as Al, predictive modeling and digital tracking, are improving our ability to detect and fight fraud. While consumers want to be protected from fraud, they do not trust most insurance companies and businesses to keep their personal data safe. Beyond just data breaches, insurers and other companies, have repeatedly given consumers many reasons to not trust their data is being used for good purposes. Already, legislators, regulators and courts are analyzing how these new investigative tools are being used or abused. Today's fraud-fighters are the ones who are literally laying the foundation for how these new technologies will be used for generations to come. But what if we get it wrong? What if our ethical missteps in the rave to embrace technology lead to results which could set our profession back for decades to come? These are the ethical concerns and uses we face and will discuss. The future of our profession depends on us getting it right.

Day 1 Afternoon Breakout Sessions:

Economic Exploitation of the Elderly

Session: 1:50pm-3:10pm

Presenter(s): Steve Bodge

Description: This session deals with the ever – increasing peril facing our elderly population as they are under aggressive attack from individuals and organized groups that use a variety of high tech to face-to-face schemes to steal money from our most vulnerable citizens.

Fighting Fraud through Fusion: The Integration of Fraud, Cyber, and Analytics

Session: 1:50pm-3:10pm

Presenter(s): Amy Boawn

Description: As organizations contemplate, develop, and mature their Cyber Fusion Centers, leaders recognize the synergies with Fraud Operations. Fusing Fraud Operations and Cyber Defense capabilities within large institutions is no longer a "novel idea," it is a necessary step mature organizations are taking to improve detection and response times to account take-overs, business email compromise, and other fraudulent activities that are inflicting real costs on organizations of all sizes.

Tackling Telephone Interviewing (repeat)

Session: 1:50pm-3:10pm

Presenter(s): Chris Norris

Description: Many investigators and human resources executives find themselves covering increasingly larger geographical areas. A properly executed telephone interview allows a modern investigator to conduct interviews without the time and resource costs of travel. Topics discussed during this webinar include: advantages and disadvantages of phone interviews, tactical preparation including room set up, critical listening, and understanding of verbal behavior.

The Case of the Haunted Hotel

Session: 1:50pm-3:10pm

Presenter(s): Michael Kaizar

Description: What if an employee was being paid for work performed, but that employee didn't really exist? Edward Littlefield of Marysville, Ohio used his role as a hotel manager to steal hundreds of thousands of dollars disguised as employee paychecks. This session covers how ghost employee fraud schemes work, the essential steps in setting up ghost employees, internal controls to prevent ghost employees, and how to detect the scheme.

Turning Data into Actionable Investigative Insights

Session: 1:50pm-3:10pm

Presenter(s): Dan Fodor, Stephanie McCloud

Description: How to effectively use data to assist in identifying and investigating workers' compensation fraud. Training content will cover fraud indicators associated with various schemes used by claimants, medical providers, prescribers and employers. Real case examples and successfully developed detections programs will be used to show how data analytics drives actionable investigative insights.

Unmasking the Truth about Synthetic Identities (repeat)

Session: 1:50pm-3:10pm

Presenter(s): Frank McKenna

Description: Synthetic identity – the fastest growing financial crime in the US – is being driven by an unprecedented level of credit repair schemes and sharing of fraud methods on social media by scammers and fraudsters. The result is billions in hidden or unaccounted for fraud lurking in bank portfolios. Hear about the history of synthetic identity, how fraudsters create these disposable synthetic identities in as little as a couple hours, and how you can identify these schemes and stop them in their tracks.

General Session:

Liar, Liar, Pants on Fire

Session: 3:20pm-4:45pm

Presenter(s): Traci Brown

Description: Are you winning the game of Two Truths and a Lie? You play every day and don't even know it. Let Body Language Expert Traci Brown Show You How! Win the game with your cases, team, clients, potential hires and vendors to dramatically improve your bottom line…and detect fraud before it happens. In this fast-paced keynote, you'll learn how to separate the lies from the truth in today's fast paced headlines…and in your own life so you're never deceived again.

Central Ohio ACFE Chapter Member Networking Event

Session: 5:00pm-6:00pm

Free for speakers, Central Ohio ACFE Chapter members, and sponsors!

Tuesday, May 18, 2021

General Session:

Combating Cybercrime in the Financial Services Industry

Session: 8:05am-10:05am

Presenter(s): Theresa Payton

Description: The first female to serve as White House chief information officer, Theresa Payton is one of America's most respected authorities on cybersecurity, data breaches and fraud mitigation whose real-world strategies and solutions help public and private sector organizations protect their most valuable resources. As Head of Intelligence on the CBS TV series, "Hunted," Theresa and her elite team of command center investigators expose the perils and opportunities of our digital world. She is even featured in the *100 Fascinating Women Fighting Cybercrime* book. The founder and CO of cybersecurity consulting firm, Fortalice Solutions, LLC, Theresa is sought out by a wide range of organizations for her expertise in improving security systems against emerging threats. She will be revealing what we need to know today and look out for tomorrow in the ongoing battle against cybercrime.

Day 2 Morning Breakout Sessions:

Building a Financial Crime Program – Going Beyond AML

Session: 10:15am-11:30am

Presenter(s): Becki LaPorte

Description: Historically, AML Programs were created to address parts of the Bank Secrecy Act (BSA) that limited their reach to fully function across the financial crime spectrum. This session discusses how to create a comprehensive program including ways to break down solos internally and externally to deter financial crime.

Fraud in the Automobile Industry

Session: 10:15am – 11:30am

Presenter(s): David Buehrle

Description: Fraud in the auto industry include fake titles used to sell cars on the internet. In addition, other vehicles with a valid lien holder have the lien illegally removed by a variety of means, and resell the vehicle. Investigations will be discussed throughout the state and country.

The Devil is in the Details: Data Analytics for Fraud Detection

Session: 10:15am-11:30am

Presenter(s): Bethmara Kessler

Description: In many frauds the evidence often hides in plain sight along with other indicators of waste and abuse. The right mindset and data analysis techniques can make a big difference in ensuring that you will find the clues that are buried in the mountains of your organizational data. This session will focus on practical tips to drive greater values and impact with your fraud data analytics that will increase your chances of finding the devil in the details.

In this session participants will get insight into practical tips and tools for:

- Developing your strategy to maximize the effectiveness of your fraud data analytics program.
- Overcoming the data dilemma
- Building analytics for proactive fraud detection and monitoring

Understanding, Detecting and Investigating Antitrust Violations

Session: 10:15am-11:30am

Presenter(s): Meagan Johnson

Description: What is a criminal antitrust violation? Where and how do these crimes typically occur and how can you detect them? DOJ Antitrust Division Trail Attorney Meagan Johnson will answer these questions and more in a one-hour session designed to provide attendees with an overview of criminal antitrust violations and investigations.

When Charities Aren't So Charitable

Session: 10:15am-11:30am

Presenter(s): Conika Brooks, Patrick Denier

Description: Ohioans donate hundreds of thousands of dollars to charitable organizations each year. When Ohioans make those donations, they expect the money will be used for the stated charitable purposes. Sometimes it isn't. In this session, the Ohio Attorney General's Charitable Law section will outline various ways individuals commit fraud in the charitable sector, including creating a sham charity for the purpose of obtaining donations for personal gain; and misappropriating or stealing charitable funds.

Why Emotional Intelligence and Critical Thinking are Keys to Success in Audit

Session: 10:15am-11:30am

Presenter(s): Mary Breslin

Description: Critical Thinking skills enable auditors to understand complex issues, problem solve and understand the different points of view and perspectives of audit clients and business partners while Emotional intelligence helps us remove common communication barriers and effectively get through to audit clients and colleagues.

General Session:

The Landscape of Fraud in 2020

Session: 12:00pm-12:55pm

Presenter(s): Bruce Dorris

Description: In this session, we'll explore the latest ACFE research into how fraud is perpetrated, how it is detected, and who the perpetrators are. We'll also look at trends in what organizations are doing to protect themselves from would-be fraudsters, including how they employ various anti-fraud technologies, what anti-fraud controls have the greatest impact, and variations in how the fraud investigations function is positioned and approached. Finally, we'll discuss what drives an individual to steal from their companies, their clients, and others, building upon the ACFE's Global Fraud Study and other research into the motivations behind the actions these fraudsters commit.

Day 2 Afternoon Breakout Sessions:

DOJ's Elder Justice Initiative (repeat)

Session: 1:15pm-2:30pm

Presenter(s): Denae Demasi-Lemon

Description: Overview of DOJ's Elder Justice Initiative involving prosecuting financial exploitation crimes against the elderly, stopping the targeting of elderly victims for cyber and telemarketing scams, and conducting community outreach to alert potential victims of possible scams and where to go for help.

Fraud in the Automobile Industry (repeat)

Session: 1:15pm-2:30pm

Presenter(s): David Buehrle

Description: Fraud in the auto industry include fake titles used to sell cars on the internet. In addition, other vehicles with a valid lien holder have the lien illegally removed by a variety of means, and resell the vehicle. Investigations will be discussed throughout the state and country.

Identity Analytics: Stopping New Account Fraud

Session: 1:15pm-2:30pm

Presenter(s): James Ruotolo

Description: Synthetic identity crime is the fastest growing financial crime in the United States. Data orchestration and analytics are increasingly critical to assessing identity, protecting a smooth low frictions experience for legitimate customers, and mitigating fraud risk.

Medical Upcoding, Dangerous Opioid Prescribing and Dead Patients

Session: 1:15pm-2:30pm

Presenter(s): Samuel Kirk III, Thomas Miller

Description: A family physician, hatched a plan to defraud insurers by upcoding his office visits and fostering opioid dependency in his patients. The presentation will focus on the fraud scheme and cover the multi-agency investigation, battle of the experts, and the criminal prosecutions resulting in the most criminal charges and longest trial in the history of Stark County.

The Devil is in the Details: Data Analytics for Fraud Detection (repeat)

Session: 1:15pm-2:30pm

Presenter(s): Bethmara Kessler

Description: In many frauds the evidence often hides in plain sight along with other indicators of waste and abuse. The right mindset and data analysis techniques can make a big difference in ensuring that you will find the clues that are buried in the mountains of your organizational data. This session will focus on practical tips to drive greater value and impact with your fraud data analytics that will increase your chances of finding the devil in the details.

In this session participants will get insight into practical tips and tools for:

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- Overcoming the data dilemma
- Building analytics for proactive fraud detection and monitoring

General Session:

Convicted Fraudster Conference Closing

Session: 2:45pm-4:00pm

Presenter(s): Jimmy Scalzo

Description: Former commercial loan officer James Scalzo wrote more than $1.4 Million in fraudulent loans while working at different financial institutions. He pled guilty to bank fraud and money laundering in January 2013; he was sentenced to 35 months in prison and three years' supervised release. Convicted fraudster James Scalzo will detail his mortgage fraud and discuss red flags of fraud as well as temptations prevalent in the financial services industry. His presentation will revolve around his career, his story, and what happens when you derail your career, but also the gray and cracks that exist in banking that open windows for fraud.

Speaker Bios

Tracy Brown
Fraud-Busting Body Language Expert
Traci Brown, Inc.

Traci is a Three Time US Collegiate Cycling Champion and former member of Team USA. She is the past president of the National Speaker's Association Colorado Chapter and the author of four books including her latest, How to Detect Lies, Fraud and Identity Theft. She holds a business degree from the University of Colorado and is a certified master practitioner of Neuro Linguistics, Hypnosis and Hawaiian Huna. She's trained in the body language of deception detection right alongside our country's top law enforcement. And in her fast paced, interactive programs that are sure to entertain, Traci teaches lie, fraud and identity theft detection skills she's used to get to the truth in billion dollar business deals, crimes and politics. Traci is not only a master lie detector, she's a persuasion expert. Her persuasion system landed her a lucrative product deal with Kevin Harrington, a Shark from ABC's hit show Shark Tank and took her on a wild ride to the big time consumer markets of TV shopping channels, infomercials and beyond. You'll be able to immediately use the same tools to create more success in your own organization and personal life…so you see more zeroes (before the decimal) in your bank account.

Bruce Dorris
President and CEO
Association of Certified Fraud Examiners

Bruce Dorris is the President and Chief Executive Officer for the Association of Certified Fraud Examiners (ACFE). He also serves as an advisory member to the ACFE Board of Regents. Dorris has conducted anti-fraud training for the United Nations, the American Bankers Association, colleges and universities around the world, as well as with the FBI, GAO and other federal and state law enforcement agencies in the U.S. His expertise has been featured in interviews with the BBC, the Wall Street Journal and the Atlantic among others. Prior to joining the ACFE, Dorris earned his Juris Doctor from the Paul M. Hebert Law Center at Louisiana State University in 1993 and is licensed to practice law in state and federal courts in Texas and Louisiana. He served as a prosecutor in Louisiana for 13 years, focusing primarily on financial crime investigations. Dorris has been with the ACFE for 11 years, previously serving as Vice President and Program Director, and is proud to be involved in the continued growth and professional direction of the world's largest anti-fraud organization.

Theresa Payton
Cybersecurity Authority and 2019 Woman Cybersecurity Leader of the Year
Fortalice Solutions and Former White House CIO

As the star of the CBS TV series, Hunted, Theresa identifies emerging trends and techniques to help combat cyber threats, from the impact of the Internet of Things to securing Big Data. The first female to serve as White House chief information officer, featured in the book 100 Fascinating Women Fighting Cybercrime, and named 2019 Woman Cybersecurity Leader of the Year, Theresa is one of America's most respected authorities on cybersecurity, data breaches, fraud mitigation and combating manipulation campaigns. With real-world strategies and solutions, she helps public and private sector organizations protect their most valuable resources. Drawing from her experience as a veteran cybercrime fighter and entrepreneur of Fortalice—her successful, rapidly growing and 2019 Cybersecurity 500 recognized firm—Theresa masterfully blends memorable anecdotes with cutting-edge insights to heighten awareness of the perils of our uber-connected world. As she delves into the cyber-underworld and the new kinds of threats that can lead to tomorrow's breaches and insider risks, she offers a proven blueprint for audiences to stay a step ahead with practical steps for thinking like the adversary, while managing cybersecurity risk.

Jimmy Scalzo
Convicted Fraudster

Former commercial loan officer James Scalzo wrote more than $1.4 Million in fraudulent loans while working at different financial institutions. He pled guilty to bank fraud and money laundering in January 2013; he was sentenced to 35 months in prison and three years' supervised release.

- Life long resident of Southeast Wisconsin
- Graduate U.W. Wisconsin, as well as U.W. Wisconsin Graduate School of Banking
- V.P. of Commercial Banking by 30, Senior V.P. by 35
- Originated over $350MM in Commercial credits over a 22 year career.
- Held Titles of SVP, Director of Commercial Banking, and Chief Credit officer.
- Convicted in 2013 for Bank and Wire Fraud.
- Served 27 months in Terre Haute IN. released Nov 2015

Matthew Smith
Executive Director
The Coalition Against Insurance Fraud

Pioneering insurance-fraud attorney Matthew Smith founded one of the nation's leading law firms specializing in insurance fraud litigation. Cincinnati-based Rolfes Henry (formerly Smith Rolfes & Skavdahl) has nine offices throughout the midwest and Florida. The firm has handled insurance matters in 43 states. As Smith Rolfes & Skavdahl, the firm broke new ground by specializing in all aspects of insurer defense against insurance fraud, from investigations to civil actions. Smith has won more than 100 civil actions — including cases against home and commercial insurance arsonists, chiropractors that fraudulently overbill, pill mills, MRI clinics and other insurance swindlers. Many appellate decisions have set significant court precedents. Smith also pioneered using data from cell towers as evidence in civil insurance-fraud cases — now standard practice for insurance-fraud cases around the U.S. He also helped popularize searches of social-media to gather evidence of suspected fraud. Rolfes Henry was founded in 1989. Smith now focuses on anti-fraud government, regulatory and courtroom work with the Coalition. He has served as president or on the boards and committees of numerous anti-fraud groups such as the International Association of SIUs ... National Society of Professional Insurance Investigators ... and International Association of Arson Investigators. A prolific author and lecturer, Smith has written more than 100 articles and spoken to dozens of anti-fraud and civic

groups. Before founding his law firm, Smith was an attorney at two other law firms and general counsel at a South Florida real-estate firm. An Ohio native, Smith graduated from Northern Kentucky University School of Law. He received his undergrad degree from the University of Cincinnati.

Michéle Stuart
JAG Investigations

Michele Stuart has 28 years of investigative and training experience. Beginning her career in financial investigations, she then formed JAG Investigations, Inc. in 1997. Ms. Stuart's area of expertise includes OSINT, counter intelligence, insurance fraud investigations, financial investigations, threat assessments, due diligence, organized retail crime, corporate and competitive intelligence. Ms. Stuart consults and trains federal, state and local law enforcement agencies, the military intelligence communities, fortune 500 companies as well as the financial and insurance industries in open source, social media and threat assessments / mitigation. She has been an Instructor at Quantico (FBI Academy) for International training programs and an Adjunct Professor with University of Virginia. In 2017, Ms. Stuart partnered with Pennsylvania Office of Homeland Security creating a program "Keeping Kids Safe", training administrators, principals, teachers, SRO's and parents on the dangers of online and social media activity, predator grooming as well as the dangers of applications / cellular security.

Amy Boawn
Booz Allen Hamilton

Ms. Boawn is an Insider Threat Program Design and Implementation Manager and Fraud Fusion Subject Matter Expert for Booz Allen's Cyber Defense Team. She is responsible for leading and assisting in the strategic oversight of insider threat program design, implementation, operational testing and evaluation. She also collaborates on the deployment of anomaly and user based behavioral detection tools and develops threat models, use case scenarios and risk indicators. Ms. Boawn has over 20 years of financial industry experience and prior to joining Booz Allen, she was part of team that developed online fraud controls for a Fortune 100 financial services firm. During her time there, she led multiple projects that included the development of fraud policies and procedures, the design and customization of technology driven solutions and the development of anti-fraud training programs. She holds multiple industry certifications, including the Certified Fraud Examiner (CFE), Anti-Money Laundering Specialist (ACAMS), Certified Information Security Manager (CISM) and Insider Threat Program Manager (CERT Carnegie Mellon).

Steve Bodge
SIU Major Case Manager
Nationwide

Steve Bodge is a SIU Major Case Manager for Nationwide Insurance. He is responsible for the identification and investigation of complex fraud cases in multiple states. Mr. Bodge has over 27 years of insurance investigation experience as a field investigator, regional fraud claims consultant and SIU Manager. He is currently the president of the WV Chapter of the International Association of Special Investigation Units. Mr. Bodge is a former law enforcement officer and detective and is a graduate of the FBI National Academy and Fairmont State University. For over 25 years he served as an adjunct criminal justice instructor at the college level, and has extensive experience in developing and presenting training at the local, regional and national level. He is a Certified Fraud Examiner and a Certified Insurance Fraud Investigator.

Mary Breslin
President
Verracy

Mary Breslin is the Founder of Verracy, formerly Empower Audit Training & Consulting, and specializes in Internal Audit transformations, Operational and Financial Auditing, Fraud Auditing & Investigations, and Corporate Accounting. Ms. Breslin's career spans over 20 years in Internal Auditing, Management and Accounting for companies such as ConocoPhillips, Barclays Capital, Costco Wholesale, Jefferson Wells and Boart Longyear. With significant International experience, she has managed audit programs in more than 50 countries. Most recently, Ms. Breslin held the title of Vice President and Chief Audit Executive where she transformed a checklist audit function into a value-add audit department which regularly delivered measurable business results through the use of risk-based auditing, data analytics, continuous education and skill development for her leadership team and staff. Mary was an early adopter of analytics for audit and has been utilizing data analytics in her career in both audit and fraud work for over 15 years. She has transformed the capabilities of audit functions using data analytics programs using ACL, IDEA and Arbutus Analyzer. Through her expertise and guidance, she has helped large global organizations leverage analytics to significantly increase coverage, automate continuous auditing and monitoring, and actively fight fraud. Additionally, Ms. Breslin has extensive Fraud Audit and Investigation experience and has conducted major fraud investigations on multiple continents including large scale federal cases domestically. She has developed and implemented fraud auditing programs in various industries focused on both accounting and operations. Ms. Breslin attended Rutgers University and received her BS in accounting, and an MBA from the University of Phoenix while living and working overseas. She is a Certified Internal Auditor (CIA), and Certified Fraud Examiner (CFE). She maintains memberships in the Institute of Internal Auditors (IIA), American Institute of Certified Public Accountants (AICPA), ISACA, the Society of Corporate Compliance (SCCE), and the Association of Certified Fraud Examiners (ACFE) and is currently an instructor and conference speaker for the IIA, The ACFE and ISACA.

Conika Brooks
Charitable Activities Major Case Investigator
Ohio Attorney General's Office

Conika Brooks has worked in the investigative and law enforcement field for over 10 years; specifically, addressing white collar crimes. Conika started her career at the Ohio Bureau of Workers' Compensation (BWC) as a Criminal Investigator on both the Injury Worker Fraud Team and Health Care Fraud Team. Conika investigated various types of cases at BWC; including, cases involving filing false claims, billing for services not rendered, and operating pill mills. She is currently employed as an Investigator in Charitable Law Section of the Ohio Attorney General's Office, where she investigates misappropriation and theft of charitable funds. Conika has a Bachelor's of Arts Degree in Criminology from The Ohio State University and a Master's Degree in Forensic Psychology from Argosy University. MCI Brooks obtained her Master Criminal Investigator-White Collar Crime Investigations Certification from the Ohio Peace Officer Training Academy and received a P.R.I.D.E Award in 2017 from former Attorney General Mike Dewine for her contributions to charitable investigations.

David Buehrle
CFE
Supervisory Special Agent
Missouri Department of Revenue

Supervisory Special Agent David Buehrle of the Compliance and Investigation Bureau, Missouri Department of Revenue, St. Louis, Mo. SSA Buehrle has been with CIB /DOR for over 5 years and is additionally deputized by the United States Marshals Service. During this time SSA Buehrle has completed several complex investigations involving Bank Fraud, Wire Fraud, Title Fraud and Mail Fraud. Obtained C.F.E. accreditation in November of 2017, Recognized by the National Odometer and Title Fraud Enforcement Administration (NOTFEA) in July 2017, Mid America Auto Theft Crime Association (MAACA) in June of 2017, and the American Association of Motor Vehicle Administrators (AAMVA) as International /Regional Investigator of 2016. Recipient of the US Attorneys Award for the Eastern District of Missouri and recognized by the Illinois Secretary of State Police 2015. Retired Police Sergeant with 25 years of service wherein he performed a variety of duties to include; supervisor, detective, narcotics officer, field training officer and patrol officer.

Danae Demasi-Lemon
Assistant United States Attorney
US Attorney's Office for the Northern District of West Virginia

Danae DeMasi-Lemon is an Assistant United States Attorney for the Northern District of West Virginia, where she serves as the district's Asset Forfeiture Coordinator, the Financial Crimes Review Team Coordinator, the Elder Justice Coordinator, and represents the United States Attorney's Office in the district's Drug Court Program. Danae's primary areas of practice are financial crimes, asset forfeiture, and money laundering. In 2018, Danae received the Virginia State Police Fraud Fighters Award for her work with the United States Postal Inspection Service, the Federal Bureau of Investigation, and the Virginia State Police in obtaining a successful insurance fraud conviction where the Court awarded insurance companies restitution over $350,000. From 2011-2015, Danae worked as a contract attorney for Forfeiture Support Associates, serving as a Senior Law Clerk assigned to United States Attorney's Office for the Northern District of West Virginia. Previously, Danae worked for three years as the Assistant General Counsel for the West Virginia State Auditor, Glen B. Gainer, III, in Charleston, West Virginia. Prior to law school, Danae taught 8th grade math at a public middle school in southwestern Pennsylvania.

Patrick Denier
Supervising Attorney – Investigative Unit
Ohio Attorney General's Office

Patrick currently serves as the Supervising Attorney for the Investigative Unit the Ohio Attorney General's Charitable Law Section which investigates complaints alleging the misappropriation of funds intended to help Ohio citizens in need. Patrick has served as lead attorney on several cases involving illegal slot machines found in storefronts, private clubs and other locations throughout Ohio. As head of the Charitable Law Section's Criminal Team, Patrick acts as a liaison between the Section and local law enforcement. In that role, Patrick ensures that law enforcement is provided with assistance from the Attorney General's Office in whatever capacity required to investigate and prosecute cases involving offenses such as the theft of charitable funds and fraudulent activity by sham charities. He serves as a special prosecutor whenever requested. Patrick has also assisted several of Ohio's professional sports teams in complying with state charitable fundraising laws. Prior to joining the Attorney General's Office, Patrick spent over a decade as an

assistant prosecuting attorney, with the majority of that time prosecuting adult felony crimes, gaining extensive jury trial experience.

Wendy Evans
CFE, CCEP
Senior Corporate Investigator and Sr. Mgr Core Programs & Services
Lockheed Martin

Wendy Evans is a Corporate Senior Manager with the Lockheed Martin Ethics and Enterprise Assurance organization. Wendy assists the Director of Core Programs and Services, Karen Clapsaddle, with management of core programs and services for the Lockheed Martin Ethics program, including Ethics Officer training, resource and case management. Wendy also conducts special investigations on behalf of the Senior Vice President for EEA and the Vice President of Ethics at Corporate. Wendy's career began in law enforcement as a police officer in Louisville, Kentucky. She joined the FBI eight years later, assigned to Tampa Division (Orlando RA), where she specialized in both white-collar crime and counterintelligence. Her first position at Lockheed Martin was the role of Security Manager supporting the Missiles and Fire Control Business Area. She also served as Global Security Operations Senior Manager for Global Training and Logistics, now the TLS line of business at RMS. Wendy is a Certified Fraud Examiner (CFE) and member of the Association of Certified Fraud examiners. She is also a Certified Compliance and Ethics Professional (CCEP) with the Society of Corporate Ethics and Compliance. Wendy is a member of the Ethics and Compliance Initiative (ECI), as well as other professional organizations. Wendy has been a featured national speaker on ethics, compliance, security and investigative topics. Wendy holds a Bachelor of Arts degree in Government Studies and Communications (Broadcasting) from Western Kentucky University and has completed two post-graduate MBA courses at Keller Graduate School.

Dan Fodor
Assistant Director, BWC Special Investigations
Ohio Bureau of Worker's Compensation (BWC)

Dan Fodor joined the Ohio Bureau of Worker's Compensation's (BWC's) Special Investigations Department in November 1999 as special agent in charge of the intelligence unit following eight years in BWC's internal audit department. Among his achievements in SID, Dan started and supervised the digital forensics unit in 2001. He also supervised the safety violations investigation unit for two years. Appointed assistant director in June 2016, Dan oversees the employer fraud team, the intelligence unit, and central operations. Dan has a bachelor's degree in accounting from The Ohio State University.

Meagan Johnson
Trail Division
United States Department of Justice, Antitrust Division

Meagan Johnson is a Trial Attorney with the United States Department of Justice, Antitrust Division. She has over a decade of experience practicing criminal antitrust law. As a prosecutor, she focuses her practice on criminal violations of the antitrust laws and related white-collar crimes. She previously clerked for the Honorable Lynn S. Adelman, of the United States District Court for the Eastern District of Wisconsin. She received her law degree summa cum laude from Michigan State University College of Law.

Bethmara Kessler
The Devil is in the Details
Consultant, Freelance

Bethmara Kessler is a Consultant, Global Thought Leader and Speaker. Kessler is the former Head of Integrated Global Services for the Campbell Soup Company. Her career spans over 30 years in positions that include Chief Compliance Officer, Chief Audit Executive and Enterprise Risk Management Head. Her extensive experience also includes leadership roles in audit, risk management, information technology and corporate investigations in companies including EY, Avon Products, Nabisco, EMI Group, LBrands, The Fraud and Risk Advisory Group and Warner Music Group. Kessler is a faculty member of the Association of Certified Fraud Examiners and an ACFE Regent.

Michael Kaizar
Forensic Accountant
Ohio Bureau of Criminal Investigation

Michael Kaizar is a Forensic Accountant with the Ohio Attorney General's Bureau of Criminal Investigation (BCI). He specializes in following the flow of cash through complex financial transactions, uncovering hidden assets, and summarizing financial data in ways that the average person can understand. Prior to joining BCI, Mike worked for 12 years in various Audit roles with Ernst & Young and Hexion Specialty Chemicals. Mike is a Certified Public Accountant, a Certified Fraud Examiner, and he holds an MBA from the University of Pittsburgh.

Samuel Kirk III
CFE
Principle Assistant Attorney General
Ohio Attorney General's Office, Health Care Fraud Section – Medicaid Fraud Control Unit

Sam Kirk is a Principal Assistant Attorney General practicing in the area of fraud investigation and litigation for the past 15 years. Sam is a Certified Fraud Examiner and works as a criminal prosecutor in the Attorney General's Health Care Fraud Section. Sam graduated Magna Cum Laude from Capital University Law School and Summa Cum Laude from Ohio Dominican University. Sam has worked on various complex criminal and civil fraud enforcement actions.

Becki LaPorte
Compliance Officer
Computer Services, Inc.

Becki is the Compliance Officer for CSI, Inc. where she serves as a compliance consultant both internally and with CSI's clients which include insurance, money services businesses, FinTech and banks. Prior to joining CSI, she served as the Chief AML Officer for one of the largest networks of independent broker-dealers in the United States. Her team was responsible for reviewing and investigating activity across the financial crime spectrum including AML, fraud, and sanctions. Becki has also served as an Associate Director and Lead Compliance Trainer for FINRA®. FINRA® is the largest independent regulator of the securities industry in the United States. She developed and delivered training and provided guidance and consultation on open examinations and investigations specific to fraud and AML. She is a Certified Anti-Money Laundering Specialist (CAMS) and a Certified Financial Crime Specialist (CFCS) where she also serves as a member of the ACFCS Advisory Board.

Stephanie McCloud
Administrator / Chief Executive Officer
Ohio Bureau of Worker's Compensation (BWC)

Ohio Governor Mike DeWine appointed Stephanie McCloud Administrator/CEO of the Ohio Bureau of Workers' Compensation (BWC) in January 2019. She leads the largest state-run insurance system in the United States with a staff of 1,800 who serve 244,000 employers and manage nearly 700,000 open claims. McCloud is a veteran executive with a diverse background that includes 20 years of experience in public administration and workers' compensation. She most recently served as senior vice president at Sedgwick Claims Management Services while managing her private Columbus law firm, McCloud Law LLC. She began her career as a staff attorney at BWC before serving as legal counsel to both former Governor George Voinovich and the Ohio Department of Transportation. She later joined the office of former Attorney General Jim Petro, first as senior deputy attorney general before advancing to chief counsel. McCloud is also a former Truro Township Trustee and active community leader. She served six years on the state of Ohio's Counselors, Social Workers, Marriage & Family Therapists Board and was on the board of the Maryhaven behavioral health and addiction treatment center in central Ohio for more than a decade. McCloud earned a bachelor's degree in journalism from Ohio University and a law degree from Capital University Law School. The Administrator was a recipient of Columbus Business First's "40 Under 40 Award" in 2010, and in 2019 she was selected as a "Women to Watch" honoree by the international publication Business Insurance. This award recognizes individuals who contribute to their own organization's performance but also advance the industry and foster the careers of other women.

Frank McKenna
Chief Fraud Strategist
PointPredictive Inc. / frankonfraud.com

Frank McKenna is the Co-Founder and Chief Fraud Strategist for AI Firm PointPredictive – A company that focuses on solving application fraud for the lending industry. He is also author of FrankonFraud, a blog dedicated to reporting about the financial industries fight against against fraud. He is an advocate for fraud managers and fraud data scientist and for the last 25 years, he has dedicated his career to fighting fraud across the world. During that time, he has consulted with over 250 banks, lenders, and finance companies.

Thomas Miller
Medicaid Special Agent
Ohio Attorney General's Office, Health Care Fraud Section Medicaid Fraud Control Unit

Thomas Miller is a Medicaid Special Agent assigned to a fraud team, which primarily investigates high prescribing physicians and substance abuse treatment centers. Thomas has thirteen years of experience as a law enforcement officer and has been employed at the Ohio Attorney General's Office, Health Care Fraud Section, for the past five years. Thomas has a Bachelor's Degree in Business Administration and a Master's Degree in Accounting and Financial Management. Thomas has worked on several complex criminal fraud cases while employed in his current position.

Chris Norris – Speakers
Director – WZ Europe and International Training, Webinar Training
Wicklander – Zulawski & Associates

Chris Norris, CFI, Director Wicklander-Zulawski, has over 30 years of experience in the loss prevention and investigative fields and has trained thousands of human resources, audit, loss prevention, security and law enforcement professionals in the art of Non-Confrontational interviewing. Chris has provided interview training internationally, taking the WZ courses to six different continents, including conducting many seminars simultaneously translated into French, Spanish, German and Polish. He has even provided training at the United States Embassy in Kabul, Afghanistan. In his native US, Chris is a guest instructor at the Federal Law Enforcement Training Center providing training to the U.S. Immigration and Customs Enforcement Office of Professional Responsibility (ICE OPR), ICE Homeland Security Investigations (ICE HSI), the U.S. Department of State's Bureau of Diplomatic Security Services, and the Office of Inspector General (OIG) Criminal Investigator and Audit Academies.

James Ruotolo – Speakers
SR. Director, Product Management, Fraud & Security Intelligence
SAS Institute

James Ruotolo is a Senior Director of Product Management and Product Marketing for Fraud and Security Intelligence solutions at SAS. In his tenure at SAS, James has also worked in various marketing, product, and consulting roles supporting fraud and risk management offerings. Before joining SAS, James was the Director of Strategic Operations for the Special Investigations Unit for a major nationwide multi-line US insurance company. James holds a Master's degree in Economic Crime Management, a Bachelor's degree in Criminal Justice and has taught graduate courses as an adjunct faculty member of a Fraud Management MBA program. He is the co-inventor of two patented fraud detection models, a Certified Fraud Examiner, and a frequent author and speaker on fraud analytics technology. Connect with him on Twitter @jdruotolo.